

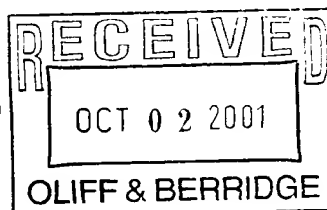


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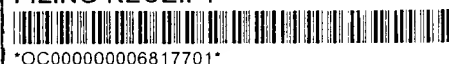
| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/922,859         | 08/07/2001  | 2873         | 1068          | 110097         | 9        | 31         | 5          |

25944  
OLIFF & BERRIDGE, PLC  
277 S. WASHINGTON STREET, SUITE 500  
ALEXANDRIA, VA 22314



CONFIRMATION NO. 8536

## FILING RECEIPT



\*OC000000006817701\*

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

*Chino-city*  
Hidenori Kawata, ~~Suwa-chi~~, JAPAN;

## Assignment For Published Patent Application

SEIKO EPSON CORPORATION, TOKYO, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-238699 08/07/2000  
JAPAN 2001-183863 06/18/2001

If Required, Foreign Filing License Granted 09/11/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Electro-optical apparatus, electronic device, substrate for use in an electro-optical apparatus,  
method of producing a substrate for use in an electro-optical apparatus, and light shielding film

RECEIVED  
DEC 26 2001  
TECHNOLOGY CENTER 2800

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APR 12 2002

Technology Center 2100

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**PATENT APPLICATION**

*Receipt*

In re the Application of

Attn: OIPE

Hidenori KAWATA

Application No.: 09/922,859

Docket No.: 110097

Filed: August 7, 2001

For: ELECTRO-OPTICAL APPARATUS, ELECTRONIC DEVICE, SUBSTRATE FOR USE IN AN ELECTRO-OPTICAL APPARATUS, METHOD OF PRODUCING A SUBSTRATE FOR USE IN AN ELECTRO-OPTICAL APPARATUS, AND LIGHT SHIELDING FILM

**REQUEST FOR CORRECTION OF PALM RECORDS**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which an error has been corrected in red. This error is being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075

Eric D. Morehouse  
Registration No. 38,565

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JAO:EDM/gam

Date: November 28, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/922,859         | 08/07/2001          | Hidenori Kawata       | 110097                 |

CONFIRMATION NO. 8536

## FORMALITIES LETTER



\*OC000000006817702\*

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 277 S. WASHINGTON STREET, SUITE 500  
 ALEXANDRIA, VA 22314

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hidenori KAWATA

Attn: Box Missing Parts

Application No.: 09/922,859

Filed: August 7, 2001

Docket No.: 110097

For: ELECTRO-OPTICAL APPARATUS, ELECTRONIC DEVICE, SUBSTRATE FOR USE IN AN ELECTRO-OPTICAL APPARATUS, METHOD OF PRODUCING A SUBSTRATE FOR USE IN AN ELECTRO-OPTICAL APPARATUS, AND LIGHT SHIELDING FILM

**RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on October 1, 2001, submitted herewith is the executed Declaration of the inventor(s). Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Attached is our Check No. 125311 for ☒ \$130.00 ☐ \$65.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075

Eric D. Morehouse  
Registration No. 38,565

JAO:EDM/gam

Date: November 28, 2001

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